3rd person needs licensing too: Examining the se/-suu connection

Gurmeet Kaur and Louise Raynaud Georg-August-Universität Göttingen

It has been claimed in literature that $1^{st}/2^{nd}$ person needs special licensing (Person Licensing Condition/PLC: Béjar & Rezac 2003, Baker 2008 a.o) in contrast to 3^{rd} person, which has no such unique requirements. In this paper, we present distinct instances of person effects for two 3^{rd} pronominal elements –the reflexive *se* in French and the pronominal clitic *-suu* in Punjabi. We establish that both *se/-suu* are (a) clitic-like in nature and structurally deficient, and (b) elements that strictly require an animate/human co-referring antecedent located either within the same clause (for *se*), or in the discourse (for *-suu*). These characteristics, when present on a 3^{rd} pronominal, translate to the same licensing requirements as $1^{st}/2^{nd}$ pronouns, showing in turn that the PLC, currently formulated in terms of $1^{st}/2^{nd}$ person/participant features, cannot be correct.

It is well-known that in French, $1^{st}/2^{nd}$ person direct object clitics may not co-occur with indirect object clitics in ditransitives. This ban known as the Person-Case Constraint (Bonet 1991) is illustrated in (1). While this restriction on $1^{st}/2^{nd}$ is typically contrasted with 3^{rd} person clitics, as in (2), it can be observed that the 3^{rd} person reflexive clitic *se* is subject to the same constraint as $1^{st}/2^{nd}$ clitics in the same structural environment; see (3).

- 1. *II **me lui** a présenté 3sg.nom 1sg.acc 3sg.dat aux introduce.ptcp 'He introduced me to him/her.'
- 2. Benjamin **le lui** a présenté Benjamin.nom 3.msg.acc 3sg.dat aux introduce.ptcp 'Benjamin introduced him to him/her.'
- 3. *Benjamin **se lui** est présenté Benjamin.nom 3.refl.acc 3sg.dat aux introduce.ptcp 'Benjamin introduced himself to him/her.'

Moving on to Punjabi -suu, it is an optionally occurring 3^{rd} person pronominal clitic that attaches to the verb. In the perfective domain, -suu can index either the subject or the object (Akhtar 1999; Butt 2007; Kaur 2017). In (4), -suu is understood as the (non-co-occurring) subject of the sentence, which gets its reference from a salient individual in the discourse; here, the person specification of the object is inconsequential. Similarly, it can also index the non-co-occurring object. However, this is permissible only in the presence of a 3^{rd} subject, but not a $1^{st}/2^{nd}$ subject, (5). There is no effect of the subject's person feature value if the object is a full 3^{rd} pronoun, (6).

- 4. main-nuu/taiN-nuu/o-nuu maaryaa **suu** 1sg-acc/2sg-acc/3sg-acc hit.perf.m.sg 3sg.cl '(S)he has seen me/you/him/her.'
- 5. karan-ne/*maiN/*tuu vekhyaa **suu** karan-erg/1.sg.obl/*2.sg.obl see.perf.m.sg 3sg.cl 'Karan/*I/*you saw him/her'
- 6. maiN/tuu/karan-ne o-nuu vekhyaa 1.sg.obl/2.sg.obl/3.sg-erg 'I/you/Karan saw him/her.' osee.perf.m.sg

To sum up, French *se* replicates the pattern manifested by $1^{st}/2^{nd}$ pronominal clitics in IO/DO interactions. Punjabi object -suu, on the other hand, is blocked in the presence of $1^{st}/2^{nd}$ subject, presenting a person effect for the subject/object interaction. We illustrate that these distinct person effects ensue from the same underlying requirement of these 3^{rd} deficient pronominals to be licensed. To this end, we first note that these are structurally deficient elements which equate only to a PhiP (and not a full DP/KP), assuming the literature on pronominal typology

(Cardinaletti & Starke 1999, Dechaine & Wiltschko 2002, Mavrogiorgos 2009). This is evidenced by their failure to be coordinated, (7a) & (8a). Additionally, *se/-suu* do not allow modification while full pronouns allow modification, albeit marginally (7b) and (8b).

7a. *Il se et me voit 7b. *stupide se/se stupide 3sg.nom 3.refl.acc and 1sg.acc see.pres.3sg stupid 3.refl/3.refl stupid 'He sees himself and me.'

8a. *raam jaandaa suu_i te suu_j 8b. *jhallaa suu/suu jhalla Ram know.hab.m.sg 3g.cl and 3sg.cl stupid 'Ram knows her/him and her/him.'

The second characteristic of *se/-suu* that differentiates them from other 3rd pronouns (weak/strong) but brings them closer to 1st/2nd person is that their reference is context-sensitive. *se* is obligatorily co-indexed with a c-commanding DP in its local domain, while the reference of *-suu* is constrained to an individual salient in the discourse, who is also known to the speech-act participants. Furthermore, they may only refer to animate entities. To elaborate, *se*, by virtue of being a reflexive, can only take an antecedent 'capable of mental experience', in the sense of Reinhart (2000). Similarly, *-suu* can only pick an animate/human co-referent; its use to co-refer to the inanimate object 'book' in (9) is ungrammatical.

9. kinne kitaab-nuu saTT dittaa? #karan-ne saTT dittaa suu who-erg book-acc throw give.perf.m.sg #karan-erg throw give.perf.m.sg 3sg.cl 'Who threw away the book?' 'Karan threw it.' (-suu is infelicitous for an inanimate).

We take these properties of se/-suu to propose that the same licensing requirement argued to apply to $1^{st}/2^{nd}$ also holds of these 3rd items, yielding the person effects seen in (3) and (5). Specifically, in keeping with analyses for PCC effects (Béjar & Rezac 2003, Anagnostopoulou 2005 a.o.), we claim that a single functional head is responsible for licensing both $1^{st}/2^{nd}$ pronouns and se/-suu in the given domains in the two languages. In French, the accusative clitics me/te/se target the v head. However, since the dative clitic is structurally closer to the v head, it either exhausts the head's licensing possibility (e.g. Anagnostopoulou 2005), or acts as an intervener between the head and the direct object, preventing se from getting licensed, as in (10).

For the object -suu in Punjabi, we propose that it is merged in the complement position of VP but cannot stay in-situ and raises to the edge of vP to license itself (in keeping with Kayne 1975, Mavrogiorgos 2009). Since the clitic is a weak pronominal corresponding to a PhiP structure without case, it cannot obtain the accusative (-nuu) case from the v head; licensing by case is an available possibility for a full 3^{rd} object (as seen in 6). -suu is thereby compelled to move higher to be licensed by the Part(icipant) head, which also licenses the $1^{st}/2^{nd}$ unmarked perfective subjects in the language, given the person based ergative system (Chandra & Kaur 2017). However, given that the $1^{st}/2^{nd}$ subject is structurally closer to the Part head and also requires licensing, it exhausts the head's licensing possibility, banning -suu, as in (11).

11. Part
$$1^{st}/2^{nd}$$
 subj suu

In light of the above discussed distributional patterns for se/-suu, we propose that the PLC be reformulated as a licensing condition on structurally weak, context-dependent and obligatory [+animate/human] pronominals, properties that tie-up both $1^{st}/2^{nd}$ and 3^{rd} person elements like French reflexive se and Punjabi -suu. The proposed revision not only yields more empirical coverage, but also refines the motivation behind the syntax of pronominal licensing.